

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Shuibing Chen, Sheng Ding and Peter G. SchultzApplication No./Patent No./Control No.: 10/577,191 Filed/Issue Date: April 24, 2006Entitled: COMPOSITIONS AND METHODS FOR INDUCING CELL DEDIFFERENTIATION

The Scripps Research Institute, a corporation  
 (Name of Assignee) (Type of Assignee: corporation, partnership, university, government agency, etc.)

states that it is:

- the assignee of the entire right, title, and interest; or
- an assignee of less than the entire right, title and interest.  
 (The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

in the patent application/patent identified above by virtue of either:

- A.  An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or a true copy of the original assignment is attached.

OR

- B.  A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: \_\_\_\_\_ To : \_\_\_\_\_  
 The document was recorded in the United States Patent and Trademark Office at  
 Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To : \_\_\_\_\_  
 The document was recorded in the United States Patent and Trademark Office at  
 Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To : \_\_\_\_\_  
 The document was recorded in the United States Patent and Trademark Office at  
 Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

- Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Thomas Fitting

Signature

Thomas Fitting

Printed or Typed Name

Chief Patent Counsel

Title

February 15, 2007

Date

858-784-2937

Telephone Number

**ASSIGNMENT OF PATENT APPLICATION**

JOINT

WHEREAS, Shuibing Chen of 7693 Palmilla Drive, Apt. 2210, San Diego, CA, 92122; Sheng Ding of 8465 Regents Road, Apt. 264, San Diago, CA 92122; and Peter G. Schultz of 1650 La Jolla Rancho Road, La Jolla, CA 92037, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention:      **COMPOSITIONS AND METHODS FOR INDUCING  
CELL DEDIFFERENTIATION**

Date(s) of execution of Declaration:

Filing Date:            April 24, 2006

Application No.:        10/577,191; and

WHEREAS, The Scripps Research Institute, a corporation of the United States of America, located at 10550 North Torrey Pines Road, Mail TPC8, La Jolla, CA, 92037, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignment  
Attorney Docket No.: 014740-001020US  
Page 2

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: Feb 09th 2007



Shuibing Chen

Dated: \_\_\_\_\_

Sheng Ding

Dated: \_\_\_\_\_

Peter G. Schultz

60956123 v1

**ASSIGNMENT OF PATENT APPLICATION**

JOINT

WHEREAS, Shuibing Chen of 7693 Palmilla Drive, Apt. 2210, San Diego, CA, 92122; Sheng Ding of 8465 Regents Road, Apt. 264, San Diago, CA 92122; and Peter G. Schultz of 1650 La Jolla Rancho Road, La Jolla, CA 92037, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

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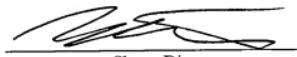
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Assignment  
Attorney Docket No.: 014740-001020US  
Page 2

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: \_\_\_\_\_

Shuibing Chen



Shuibing Chen

Dated: 2/6/07

Dated: 1/30/07


Sheng Ding

Peter G. Schultz

60956123 v1